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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Rosalind Herman et al. Examiner: Rachel L. Porter  
Serial No: 09/873,864 Art Unit 3626  
Filing Date: June 4, 2001  
Title: FOUNDATION FUNDS GENERATION SYSTEM AND METHOD  
Attorney Docket No.: 60697-015 (NFRK-001)

CERTIFICATE OF FACSIMILE TRANSMISSION 37 CFR § 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office (Fax No.: (703) 872-9306) on the date shown below.

Date: Sept. 9, 2004Elsie Saraglow  
Elsie Saraglow

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

TRANSMITTAL LETTER

Enclosed herewith for filing in connection with the above-identified patent application are the following:

- 1) Response to Office Action;
- 2) Request For Continued Examination (RCE) Transmittal; and
- 3) Acknowledgment Postcard.

The Commissioner is hereby authorized to charge the requisite Request For Continued Examination (RCE) fee of \$385.00 and any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1133. A duplicate copy of this letter is enclosed for that purpose.

Respectfully submitted,

David M. Mello

David M. Mello, Reg. No. 43,779  
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28 State Street  
Boston, MA 02109  
Tel.: 617.535.4037  
Fax: 617.535.3800

Date: September 9, 2004

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*Rachel L. Porter USPTO**703 872-9306*From: *David M. Mello*Direct Phone: *617 535-4037*E-Mail: *dmello@mwel.com*Sent By: *Elsie Saraglow*Direct Phone: *617 535-3959*Client/Matter/Tkpr: *60699-015*Original to Follow by Mail: *0**(5499)*Number of Pages, Including Cover: *17*

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<b>Sent By:</b> <i>Elsie Saraglow</i>		<b>Direct Phone:</b> <i>617 535-3959</i>	
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*Regards.*

*Elsie Saraglow*

*Assistant to David Mello.*

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